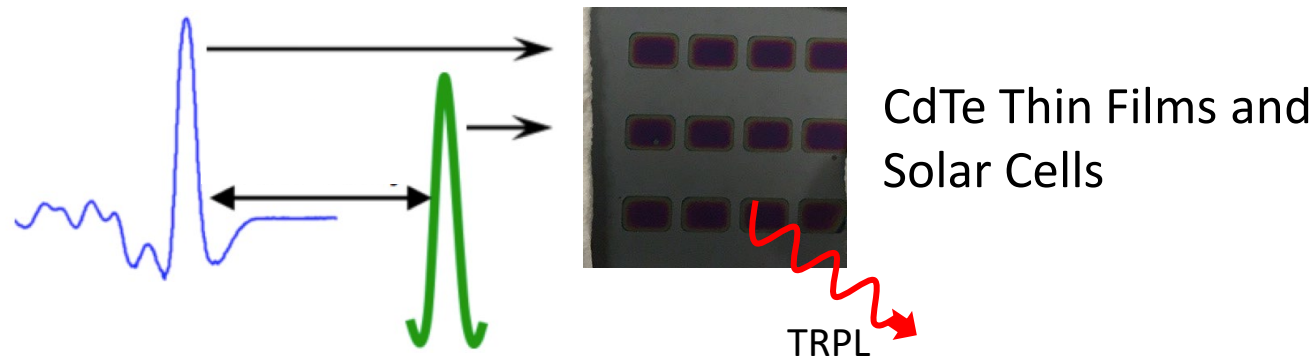


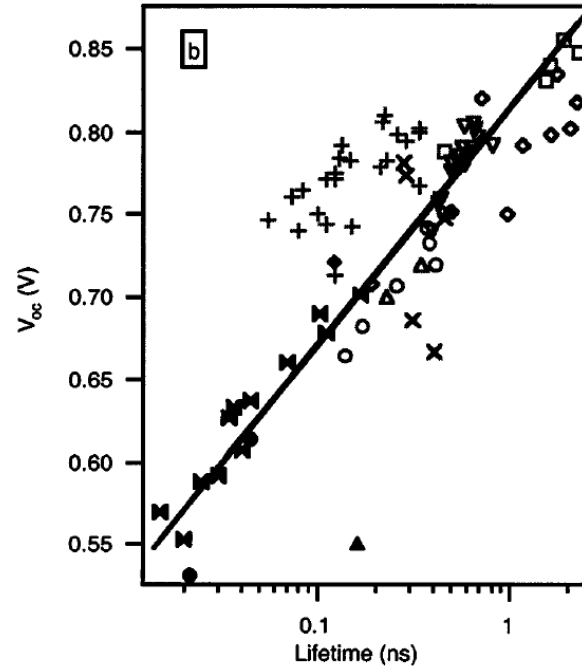
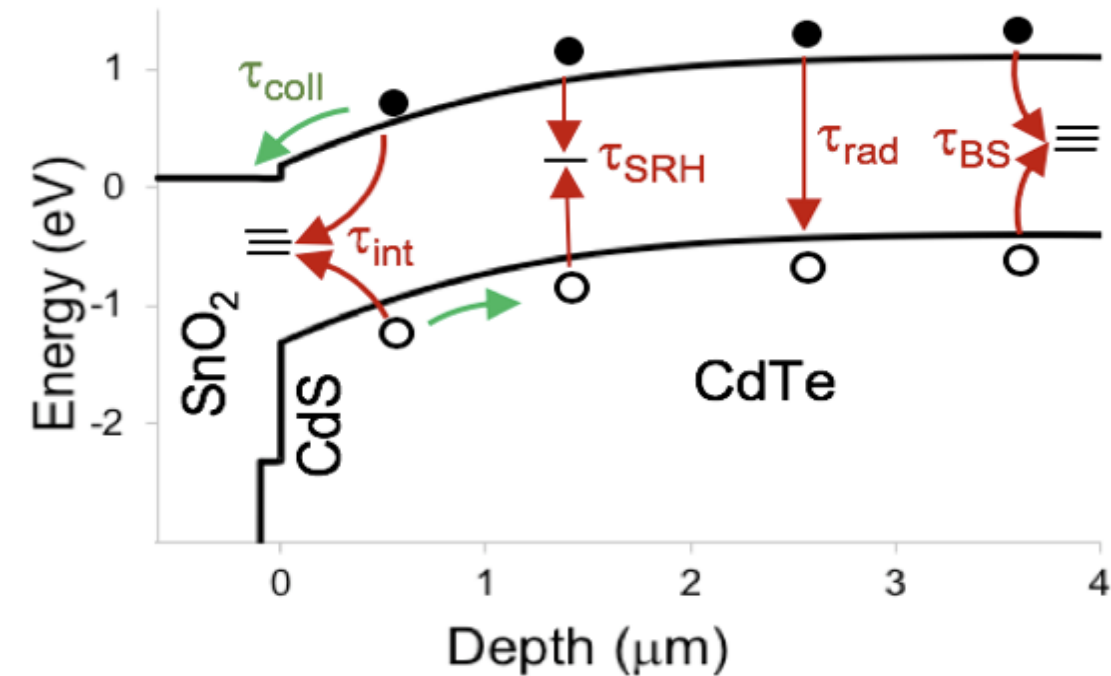
# Distinguishing Bulk and Surface Recombination By Measuring and Modeling Ultrafast Carrier Dynamics

**Jason B. Baxter**

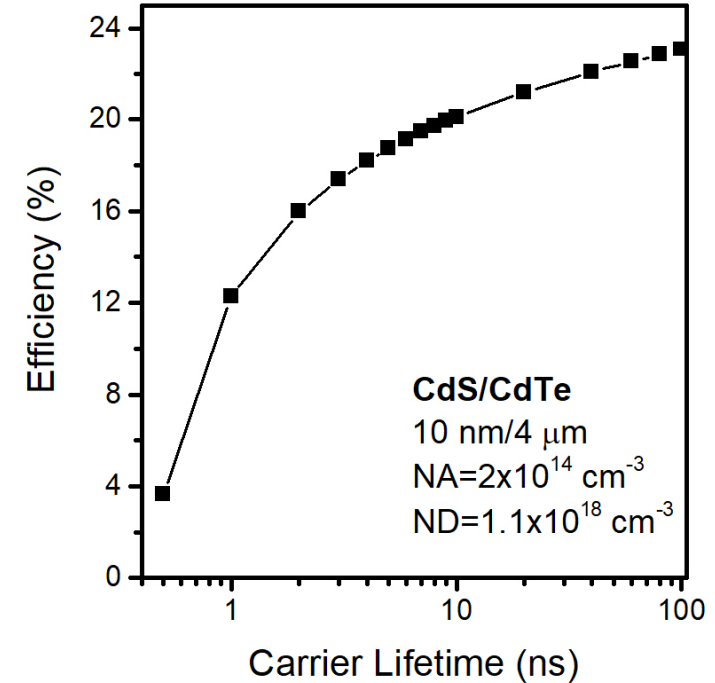
Department of Chemical and Biological Engineering  
Drexel University  
Philadelphia, PA



# Identifying Sources of Recombination to Inform Device Design & Processing



Metzger et al., JAP (2003)



Simulations with SCAPS

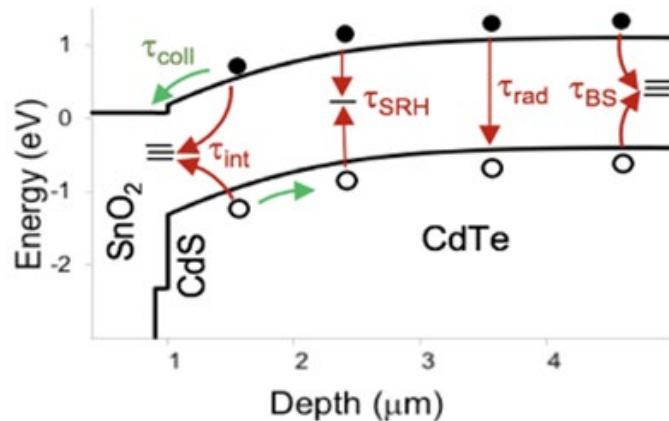
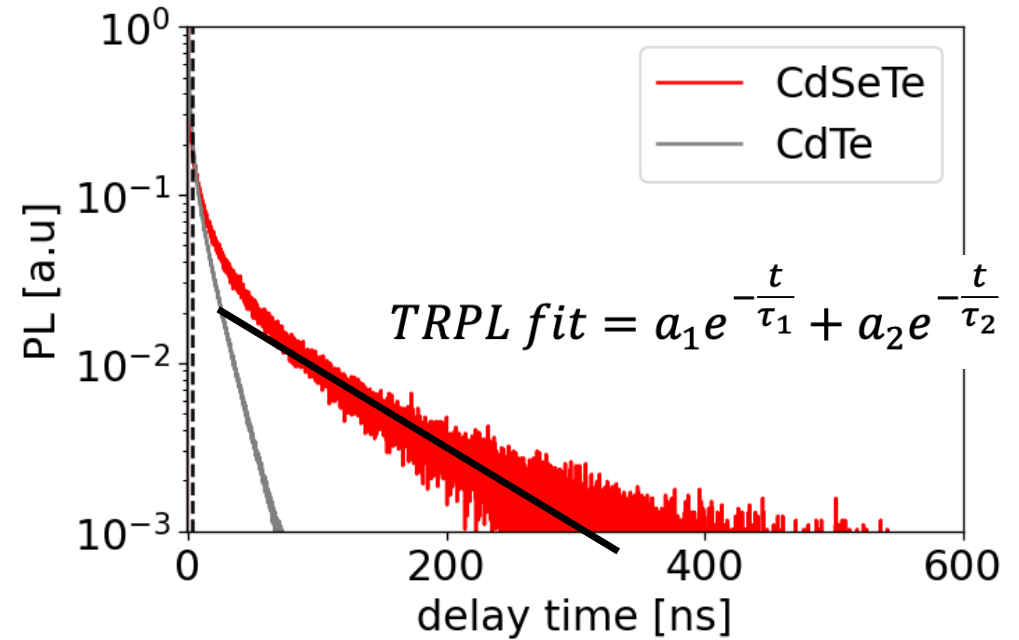
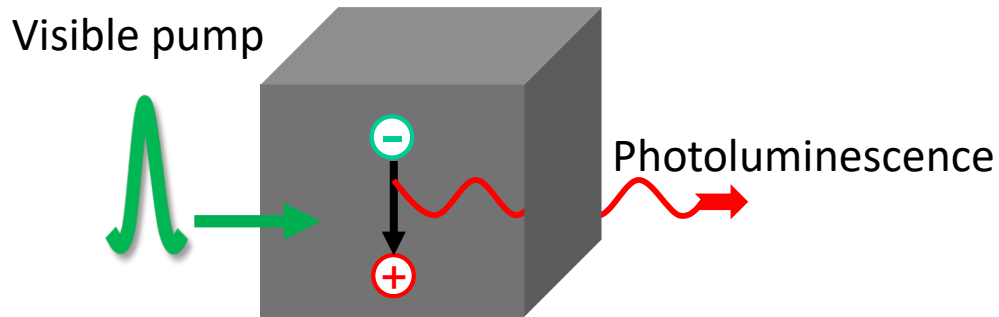
**What is the photoexcited carrier lifetime?**

**Which recombination mechanisms, bulk or surface/interface, are limiting?**

**How can we answer these questions?**

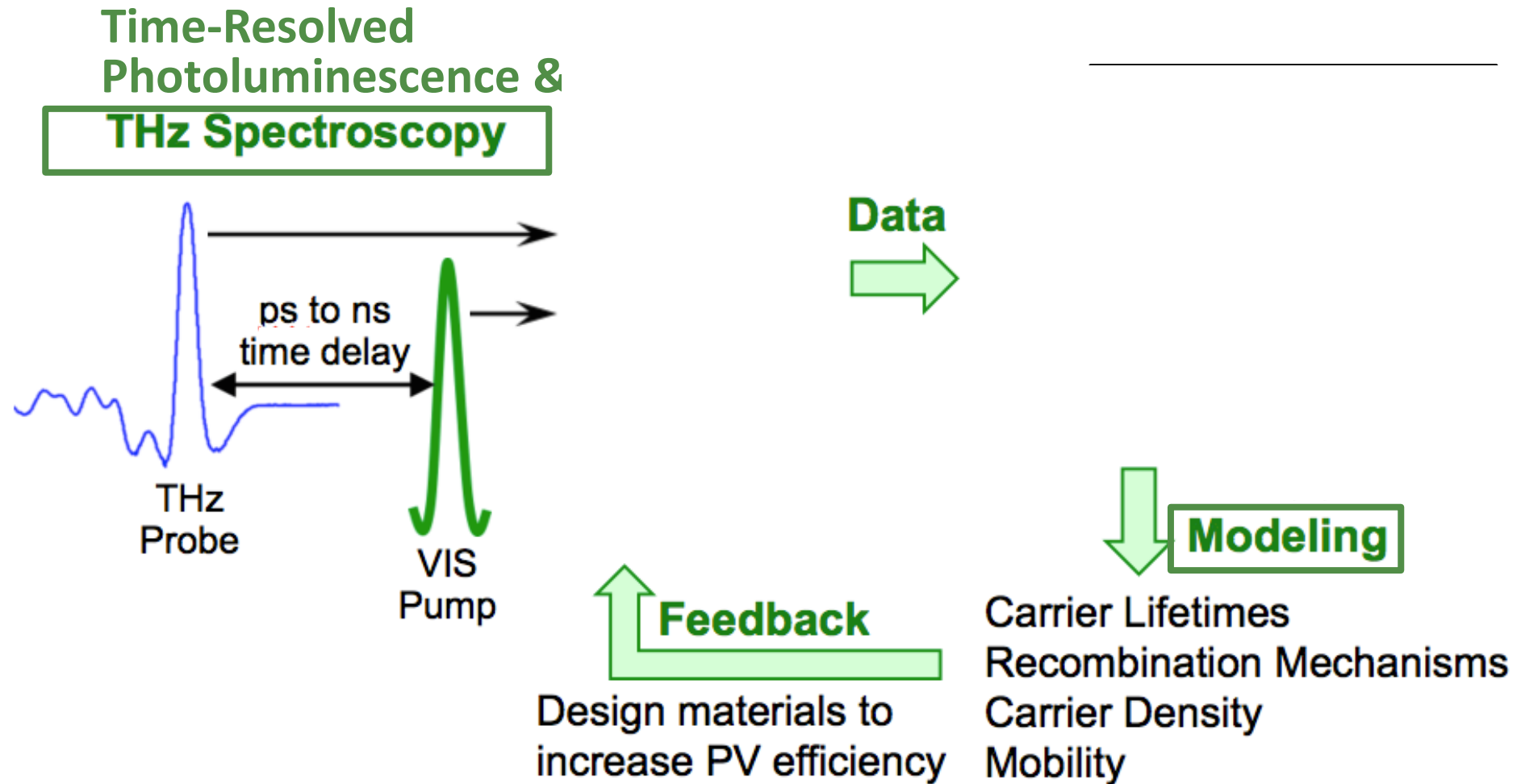
# Conventional Approach: $\tau_2$ from Time-Resolved Photoluminescence (TRPL)

TRPL probes photoluminescence from radiative recombination



- Often assumed that long time constant  $\tau_2$  is associated with the bulk lifetime.
- Early dynamics from carrier redistribution and surface recombination.
- Charge storage in the junction and de-trapping can also influence dynamics.
- This approach can lead to incomplete and sometimes incorrect understanding, as described by Hages, *Adv. Mater.* 2017; Moseley, *J. Appl. Phys.* 2021; Taheri, *J. Appl. Phys.* 2021; Hages, *Joule* 2022.

# Our Approach: Combined Dynamics and Modeling



# Transport Models Reveal Transient Carrier Density Profiles

Continuity equations for electrons and holes:

$$\nabla \cdot J_n = -q \left( G - R - \frac{\partial n}{\partial t} \right)$$

$$\nabla \cdot J_p = q \left( G - R - \frac{\partial p}{\partial t} \right)$$

with  $G=0$

$$R_{SRH} = \frac{\tau_p \frac{\sigma_n \sigma_p v_{th} N_t (n - n_i^2)}{n + n_i \exp\left(\frac{E_t - E_i}{kT}\right) + \tau_n \frac{\sigma_n \sigma_p v_{th} N_t (p - n_i^2)}{p + n_i \exp\left(\frac{E_i - E_t}{kT}\right)}}{\tau_p \left[ n + n_i \exp\left(\frac{E_t - E_i}{kT}\right) \right] + \tau_n \left[ p + n_i \exp\left(\frac{E_i - E_t}{kT}\right) \right]}$$

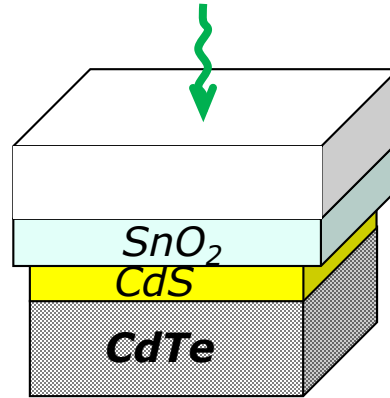
$$R_{rad} = B_{rad}(np - n_0 p_0)$$

Poisson's equation:

$$\nabla^2 V = -\frac{q}{\epsilon} (p - n + N_d - N_a)$$

Initial condition (pulsed light, Beer's law):

$$N_{photon\ flux} = N_0 \exp(-x\alpha_i)$$



CdS/CdTe Interface:

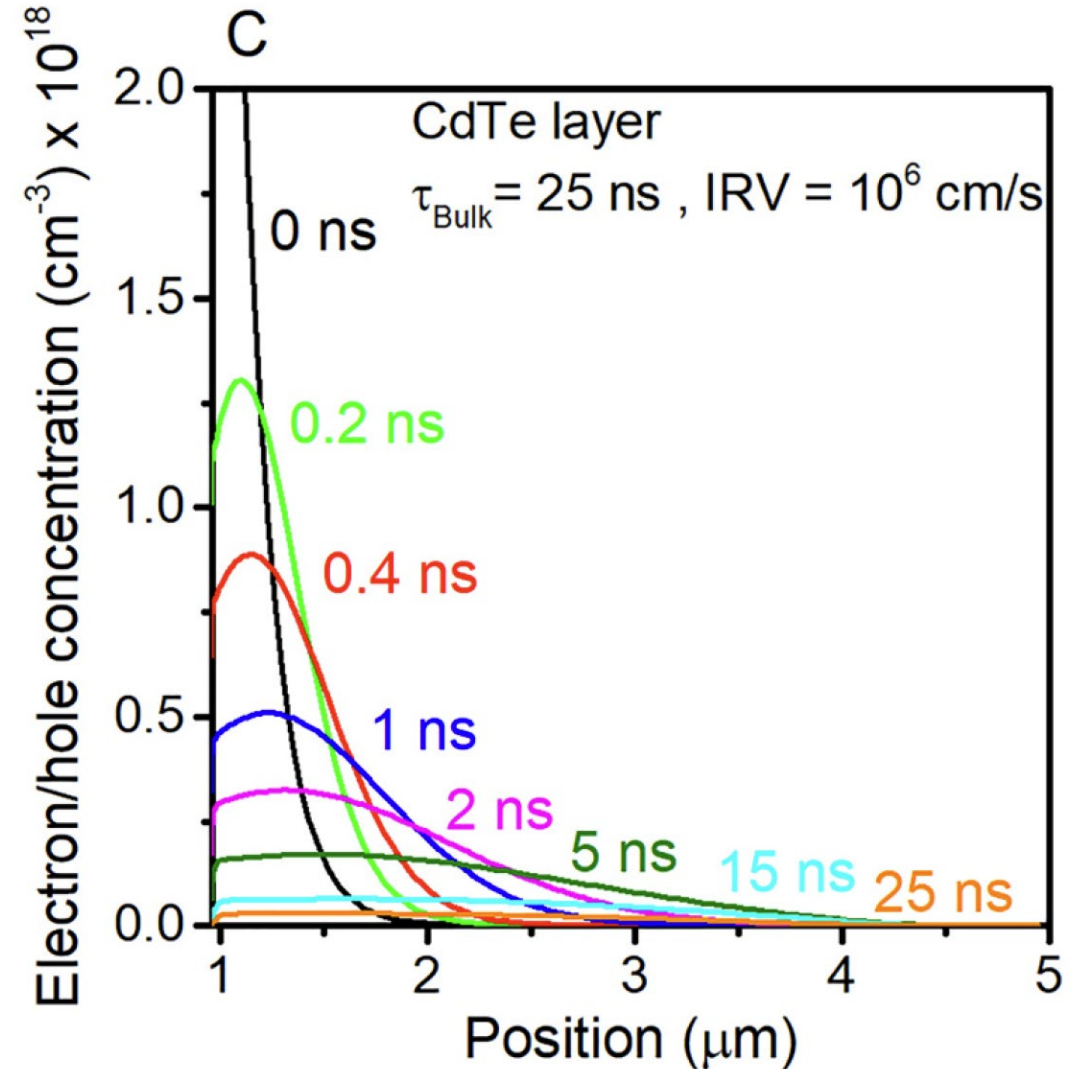
$$\frac{dn}{dx} = \frac{IRV}{D_n} n(0, t)$$

$$\frac{dp}{dx} = \frac{IRV}{D_p} p(0, t)$$

CdTe Back Surface:

$$\frac{dn}{dx} = \frac{BSRV}{D_n} n(L, t)$$

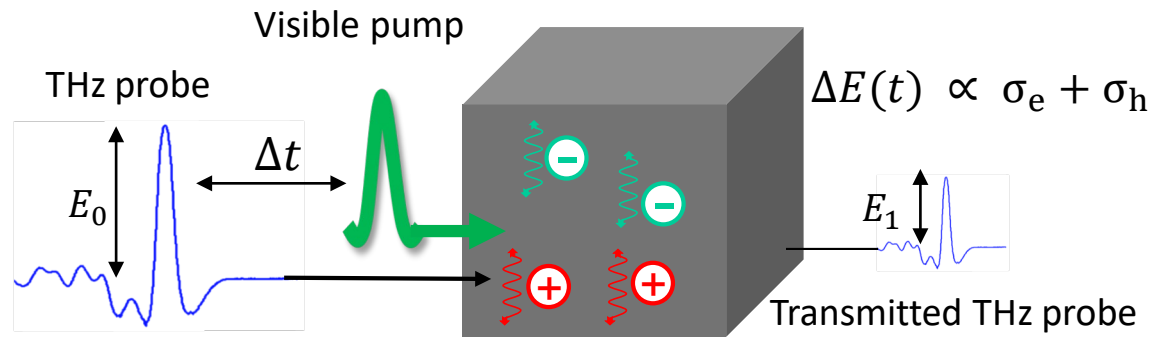
$$\frac{dp}{dx} = \frac{BSRV}{D_p} p(L, t)$$



Solved using COMSOL

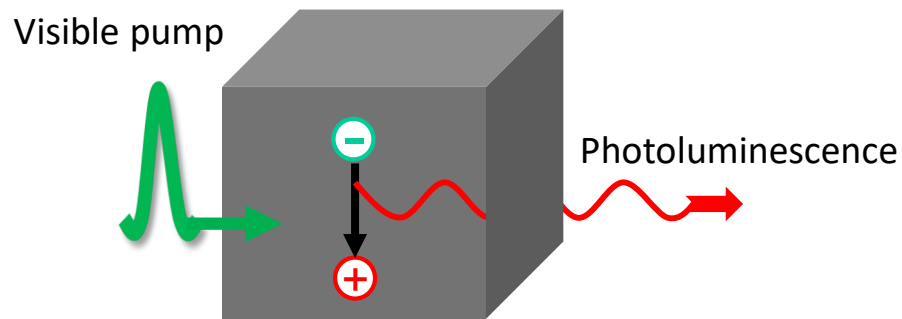
# Complementary Time-Resolved Terahertz Spectroscopy (TRTS)

## Time-resolved terahertz spectroscopy:

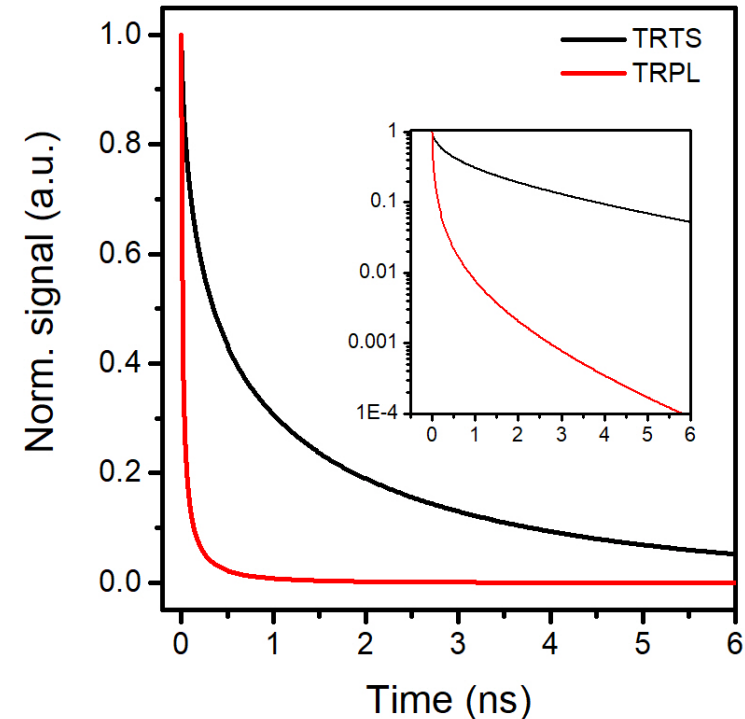


TRTS probes the photoconductivity induced by electrons and holes (Terahertz pulse: 0.2 - 2 THz, or 0.8 - 8 meV, or 6.6 - 66  $\text{cm}^{-1}$ )

## Time-resolved photoluminescence:



TRPL probes photoluminescence from radiative recombination



$$\Delta E(t) \propto \sigma_e(t) + \sigma_h(t)$$

$$\propto q\mu_e \Delta n(t) + q\mu_h \Delta p(t)$$

$\Delta n$ : Electron concentration

$\Delta p$ : Hole concentration

$\mu_e$ : Electron mobility

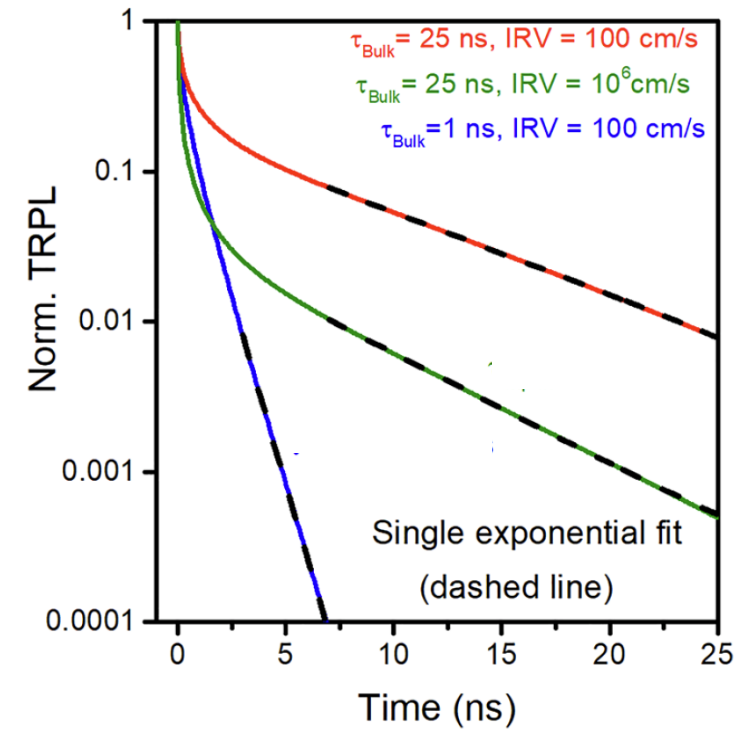
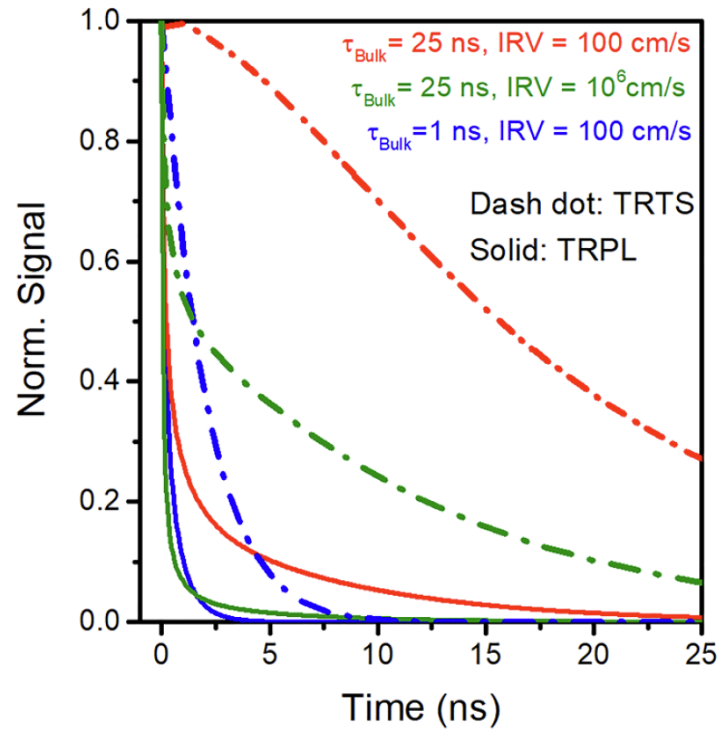
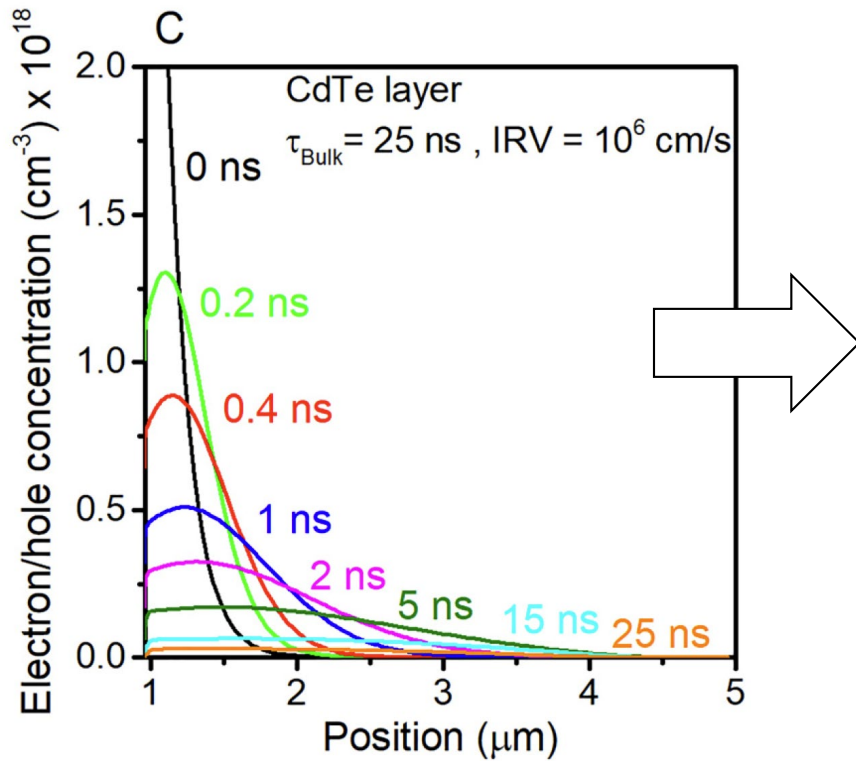
$\mu_h$ : Hole mobility

$q$ : Elementary charge constant

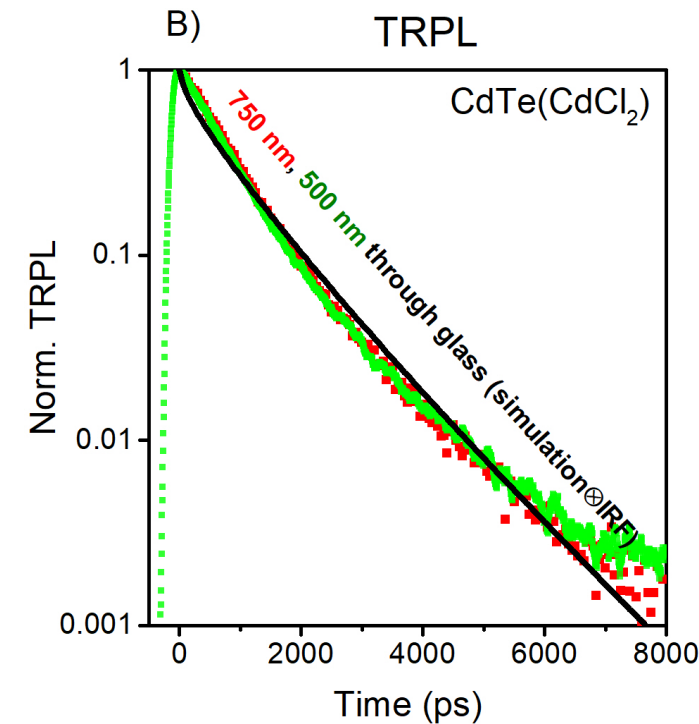
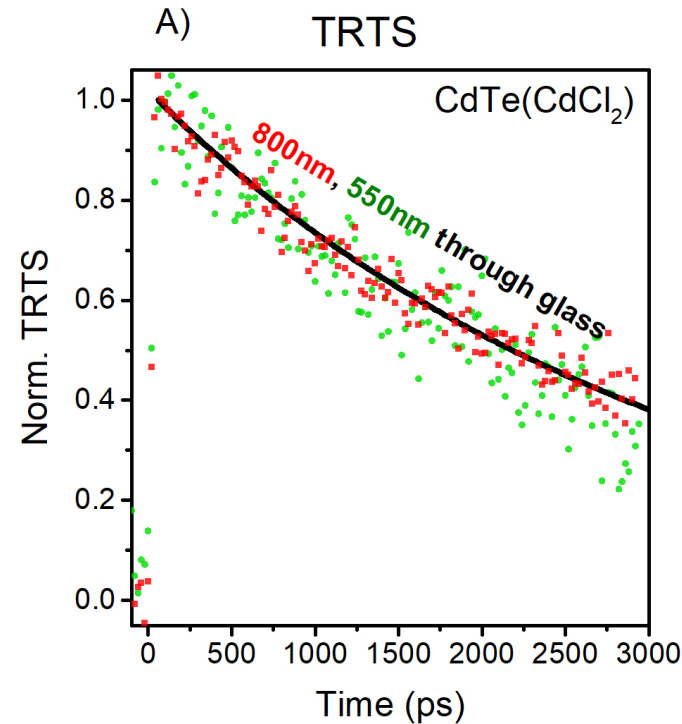
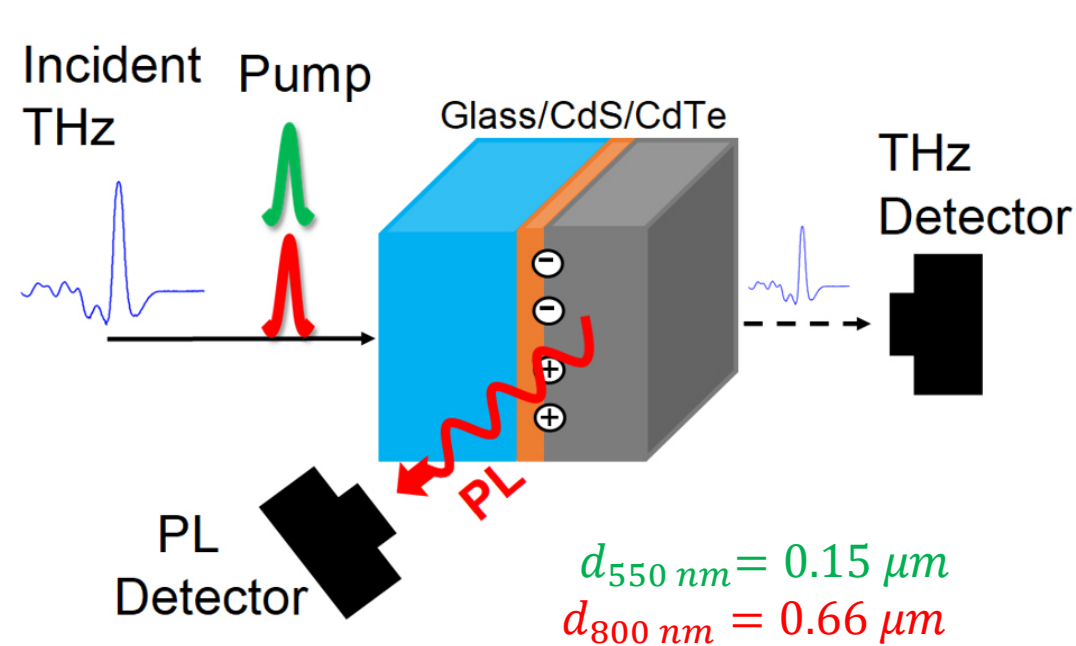
# Simulation of TRTS and TRPL Signals

$$TRTS(t) \propto \int (\mu_e \Delta n(x, t) + \mu_h \Delta p(x, t)) dx$$

$$TRPL(t) \propto \int (np - n_0 p_0) dx$$



# Extracting Recombination Parameters from Fits to Data



Use of multiple pump wavelength simplifies differentiation between surface and the bulk recombination.

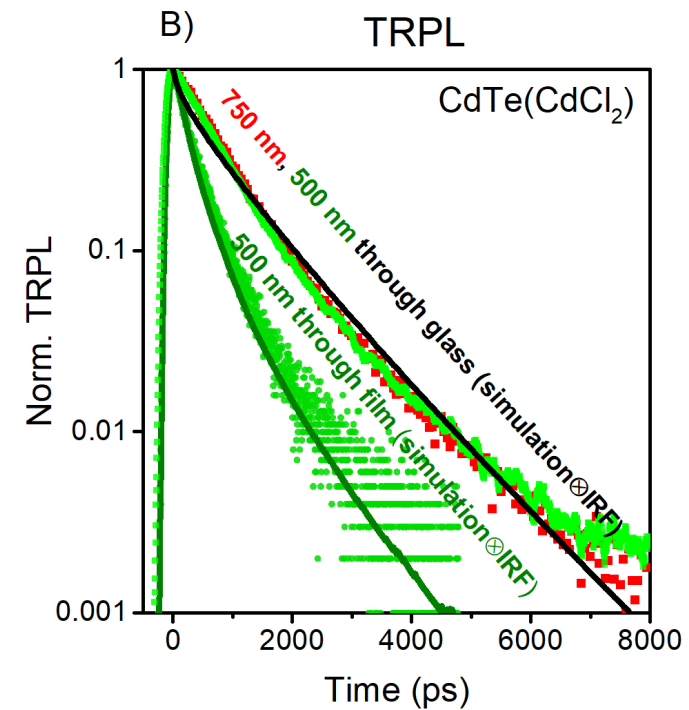
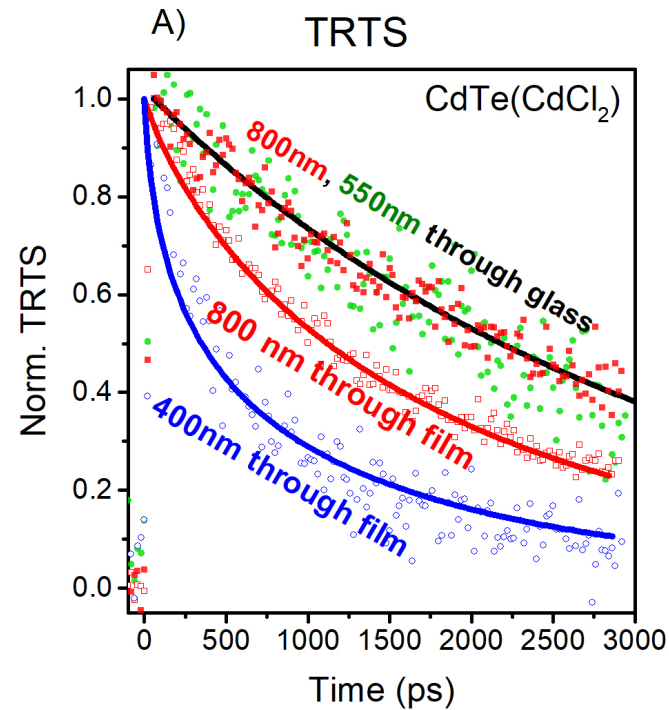
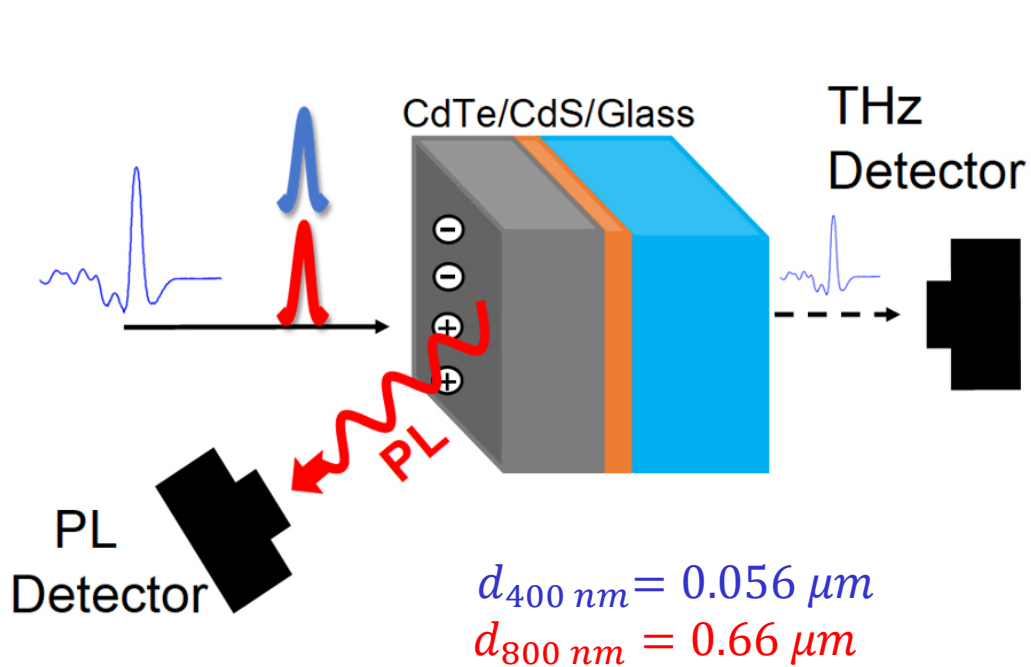
Comparable dynamics when excited from glass side for Green vs Red excitation.

Negligible/suppressed IR ( $< 2 \times 10^4 \frac{\text{cm}}{\text{s}}$ )

Bulk lifetime of 1.7 ns



# Evaluating Back Surface Recombination



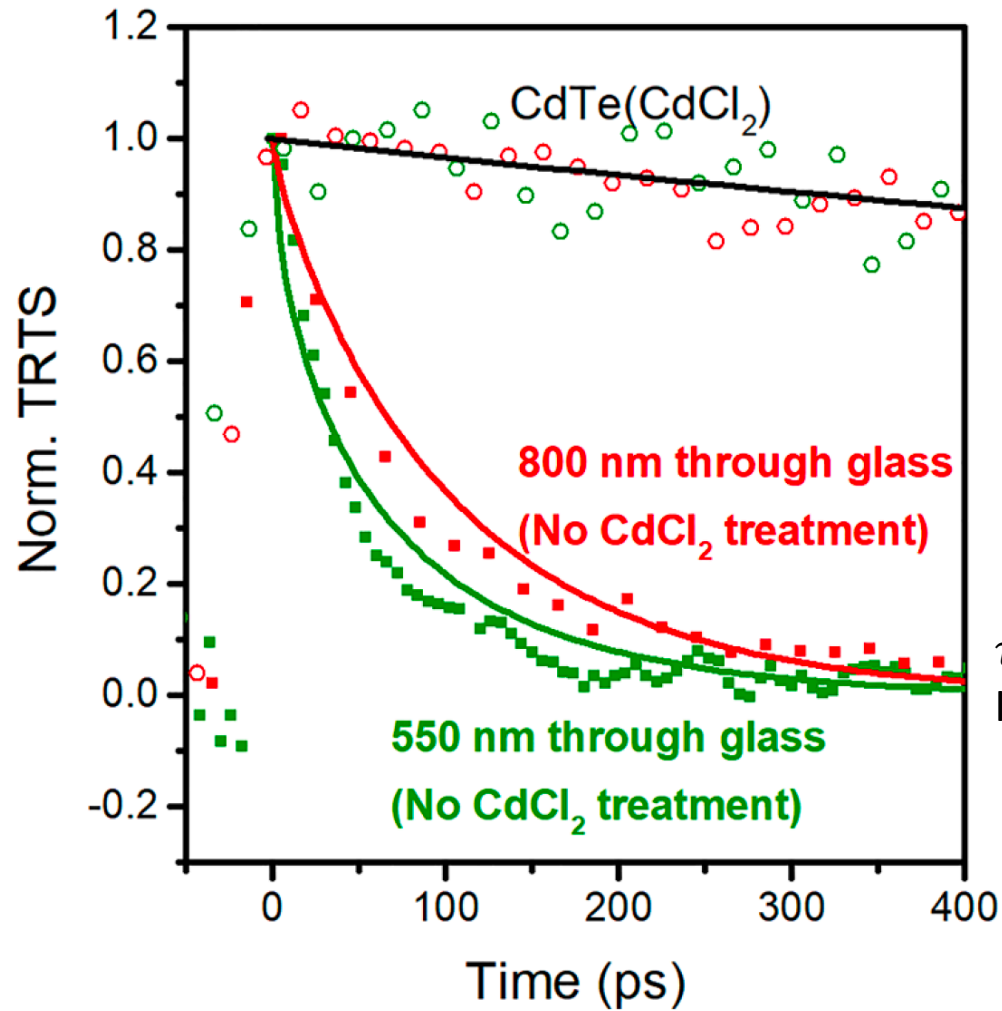
Dynamics are faster with **Blue** than **Red** excitation when excited from film side.

→ **Need to consider back surface recombination in addition to bulk recombination**

$$\text{BSRV: } 6 \times 10^4 \frac{\text{cm}}{\text{s}}$$

$$\text{Bulk lifetime: } 1.7 \pm 0.3 \text{ ns}$$

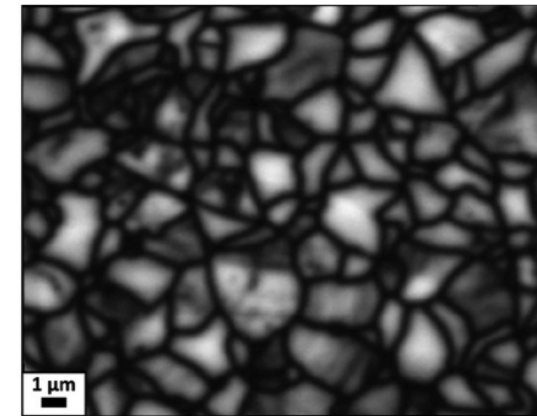
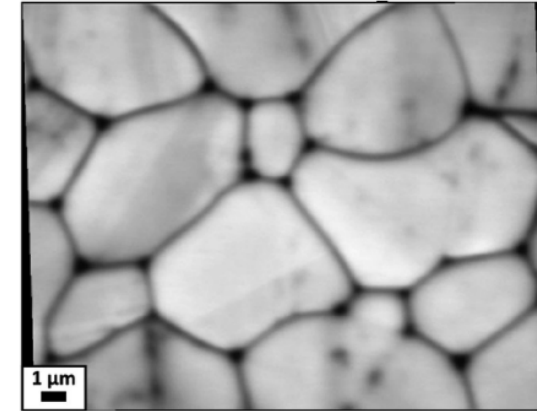
# CdCl<sub>2</sub> Treatment of CdTe Films Improves Bulk and Interface



$\tau_{bulk} = 1.7 \pm 0.3 \text{ ns}$   
IRV: Negligible interface recombination  
( $< 2 \times 10^4 \text{ cm/s}$ )

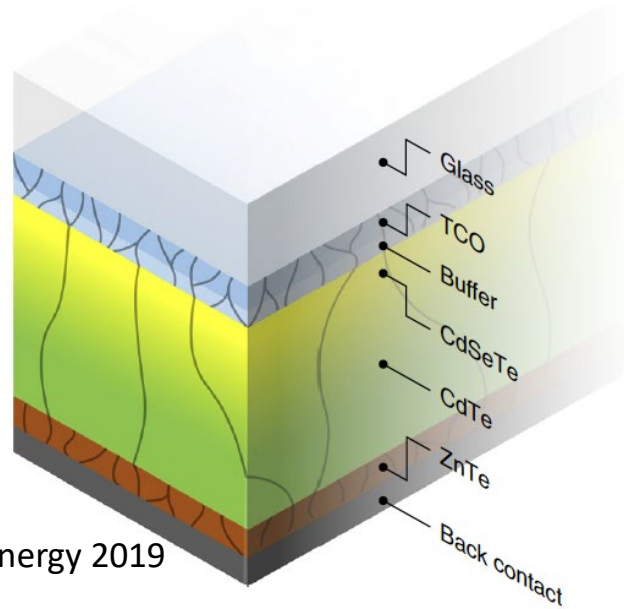
$\tau_{bulk} = 53 \pm 7 \text{ ps}$   
IRV =  $1 \times 10^8 \text{ cm/s}$

After CdCl<sub>2</sub>,  
larger grains



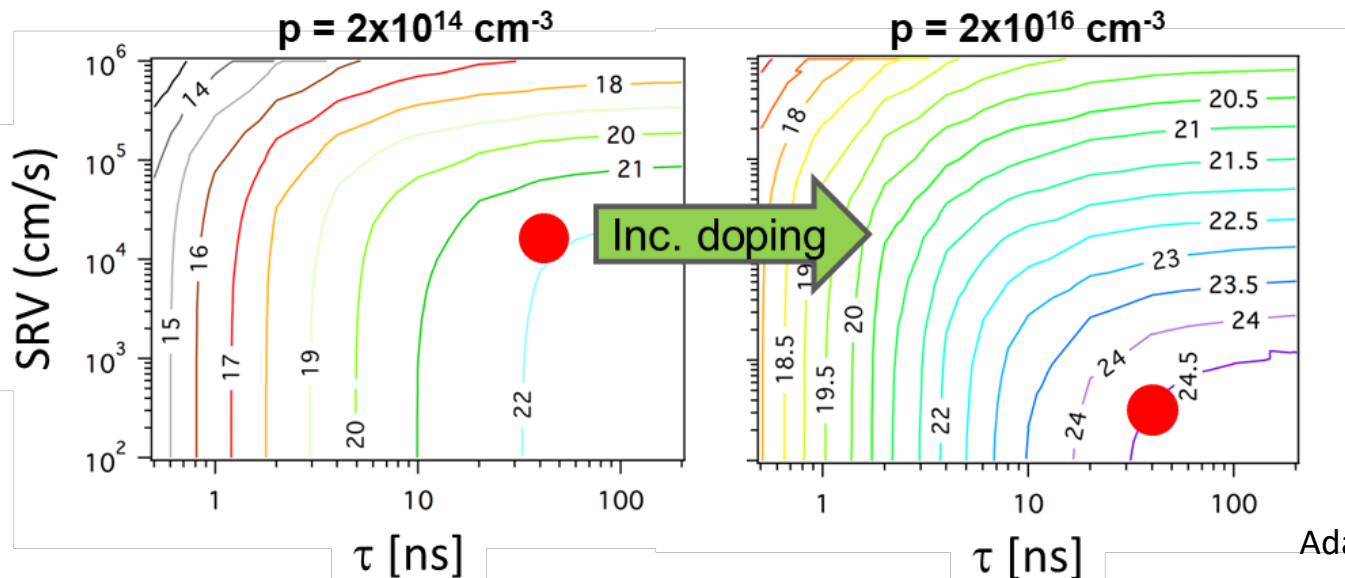
Before CdCl<sub>2</sub>,  
many grain boundaries

# Next Steps Toward Improving CdTe PV Performance



Metzger,  
Nature Energy 2019

State-of-the-art devices from First Solar use:  
Wide bandgap buffer layer  
CdSeTe/CdTe graded absorber and Cu/As doping  
ZnTe or alternative back contact

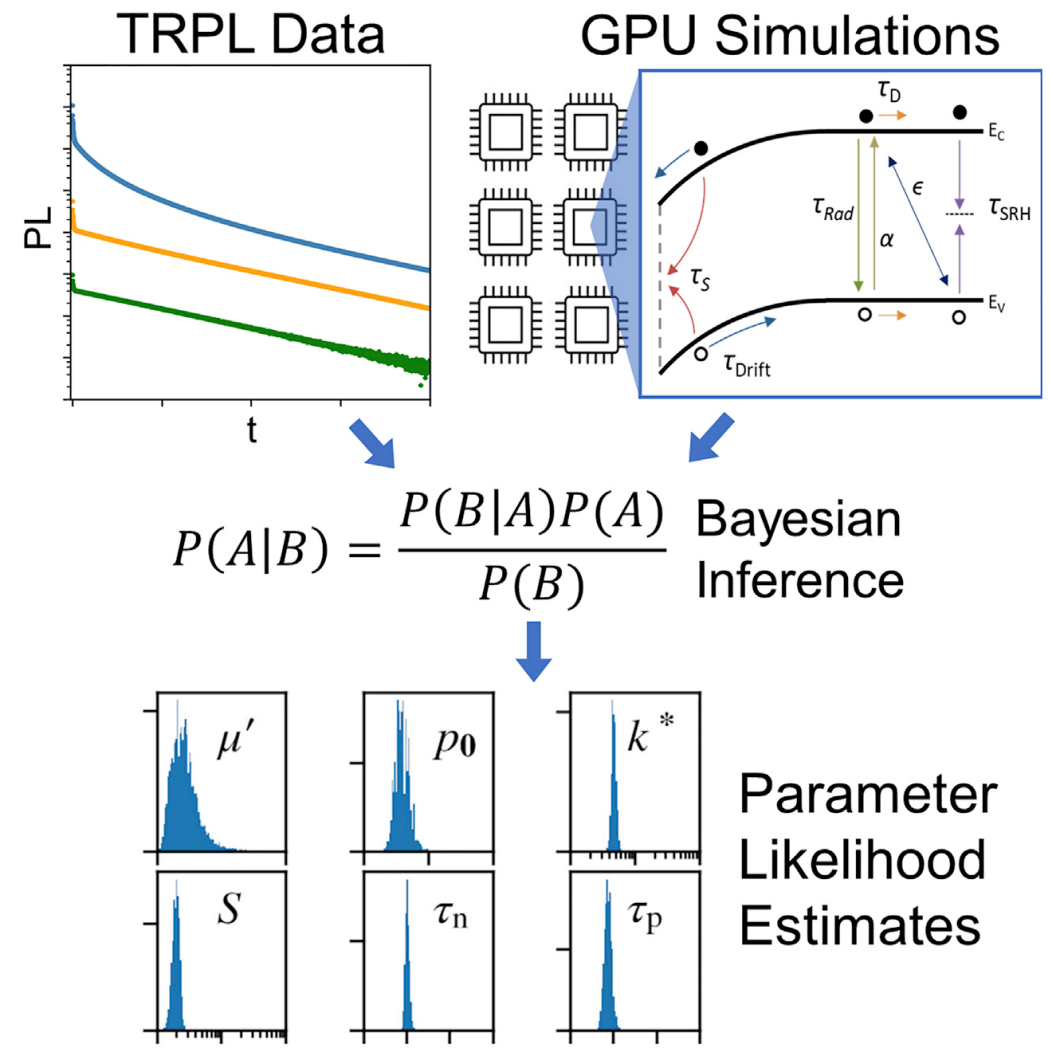


Adapted from Kanevce, JAP (2017)

New collaborative DOE project led by Univ. of Delaware aims to use Sb doping to increase  $V_{oc}$  and efficiency. Will require long bulk lifetimes and slow surface recombination.

# Next Steps with Method: Parameter Extraction with Bayesian Inference

- COMSOL model is accurate but slow
  - ~30 min per TRPL or TRTS simulation
  - Limits the number of simulated parameter sets compared to experimental data
- Prof. Chuck Hages (U. Florida) has developed a new Python model to solve the semiconductor equations in an absorber film on GPUs that can test simulated parameter sets  $\sim 10^4$ x faster
  - *Joule* 2022
- Bayesian inference enables extraction of best fit parameters upon comparison to experimental data



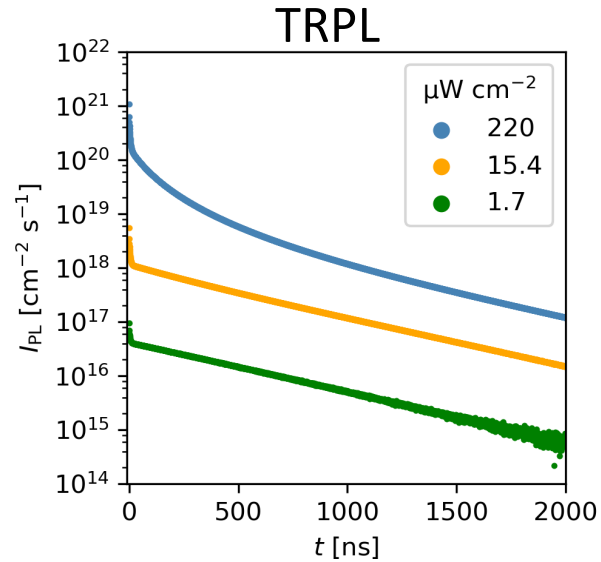
# Example Iterated Bayesian Inference

- Multiparameter ( $k, n_0, p_0, \tau_n, \tau_p, \mu_n, \mu_p, \epsilon, S_f, S_b$ )  
Bayesian inference allows for decoupling regimes with overlapping contributions
- Experimental data is compared to simulated data sets to compute likelihood values

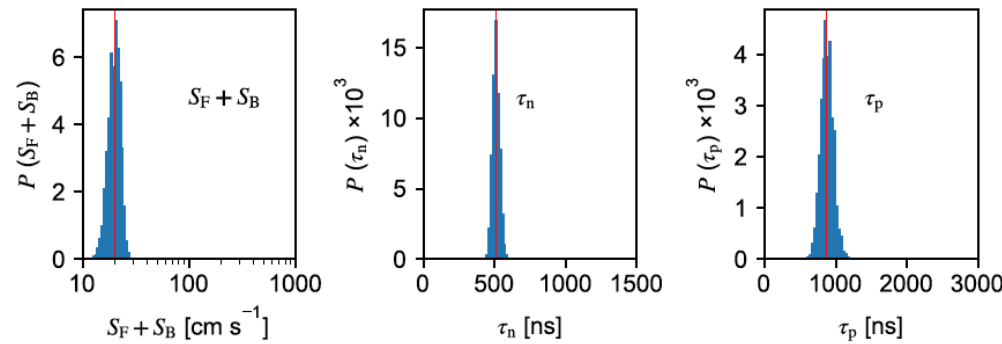
$$P(\Omega|y_n) = \frac{P(\Omega|y_{n-1})P(y_n|\Omega)}{P(y_n)} \quad \leftarrow \text{Bayes Theorem}$$

$$P(y_n|\Omega) = \frac{1}{\sqrt{2\pi}\sigma} e^{-\frac{(y_n - y_n^*(\Omega))^2}{2\sigma^2}} \quad \leftarrow \text{Likelihood}$$

# Example Inference on Perovskite Film



## 2. Better data (probability histograms)



## 4. Experimental Design

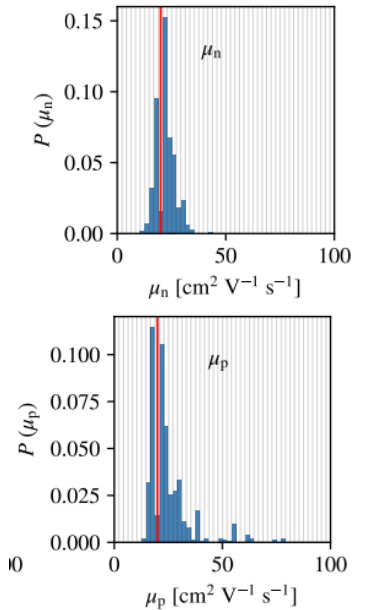
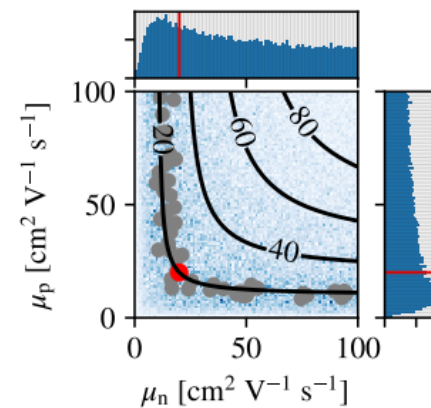
Use large data sets of (millions of simulations) to design experiments to improve inferred parameter confidence

Parameter	Input	Inferred
$\mu_n$ ( $\text{cm}^2 \text{V}^{-1} \text{s}^{-1}$ )	20	$\mu' = 22 \pm 0.2$
$\mu_p$ ( $\text{cm}^2 \text{V}^{-1} \text{s}^{-1}$ )	20	
$k^*/10^{-11}$ ( $\text{cm}^3 \text{s}^{-1}$ )	4.8	$4.8 \pm 0.05$
$p_0/10^{15}$ ( $\text{cm}^{-3}$ )	3	$3.0 \pm 0.03$
$\tau_n$ (ns)	511	$511 \pm 0.9$
$\tau_p$ (ns)	871	$878 \pm 3.1$
$S_F$ ( $\text{cm s}^{-1}$ )	10	$(S_F + S_B) = 19.8 \pm 0.1$
$S_B$ ( $\text{cm s}^{-1}$ )	10	

## 1. Improved output information density

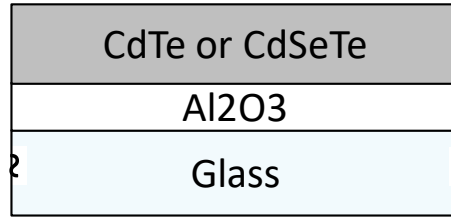
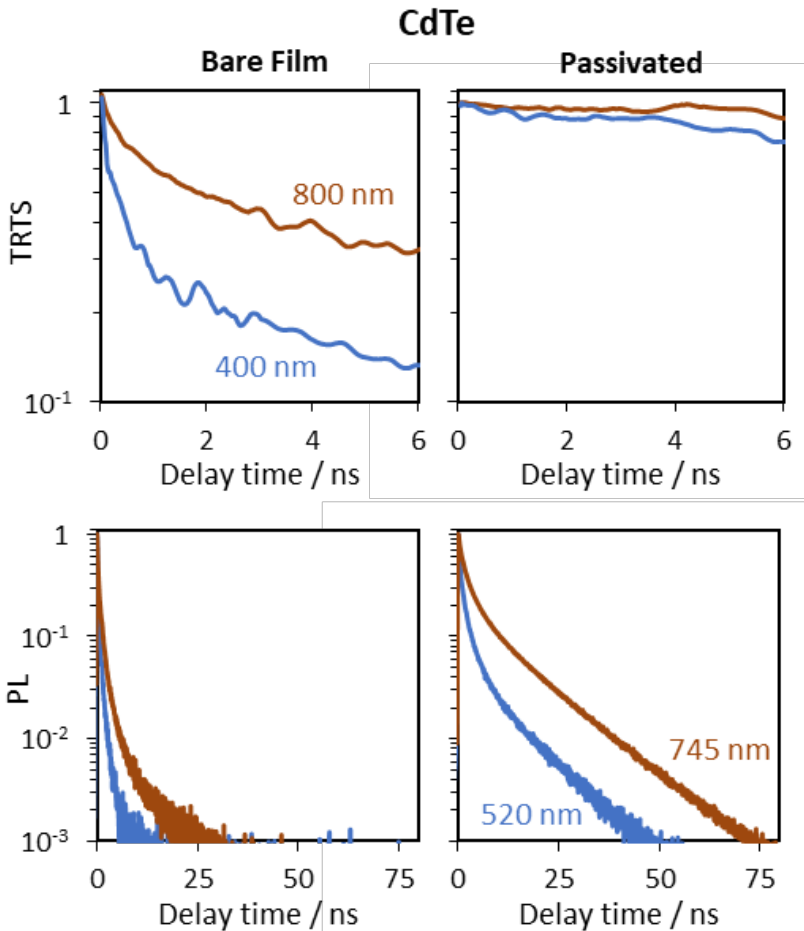
Analytical solutions rely on approximations and decoupled physics in asymptotic regimes

## 3. Parameter correlations

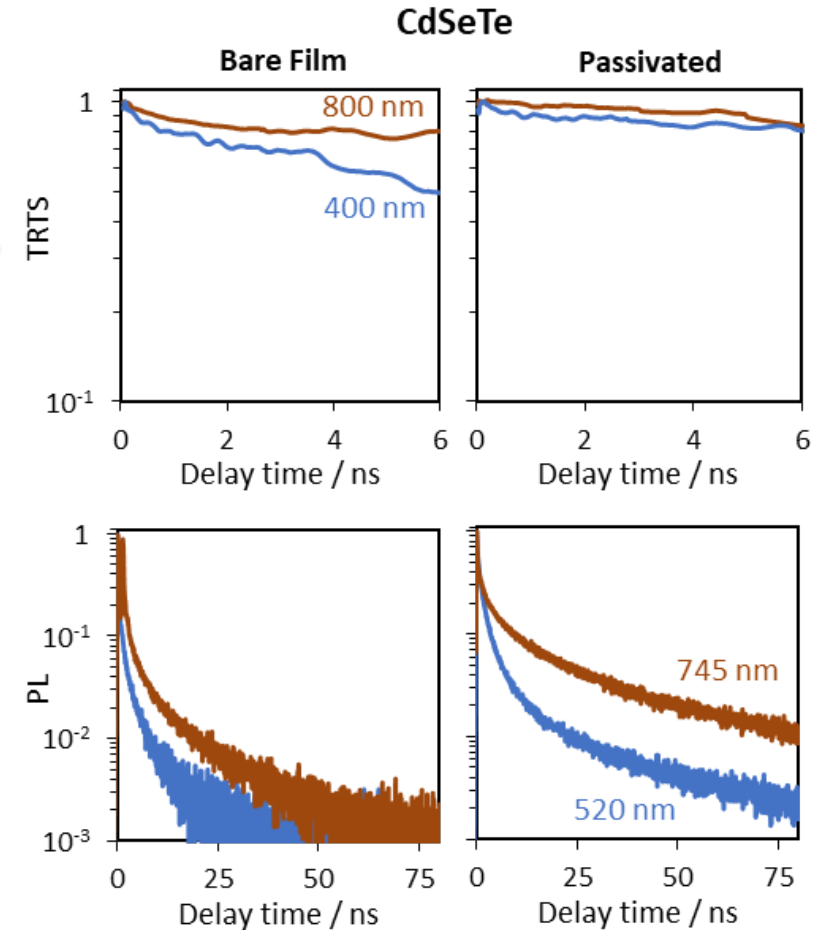


# Test Case: Uniform CdSe<sub>x</sub>Te<sub>1-x</sub> Absorber from NREL

## Combined TRTS & TRPL:



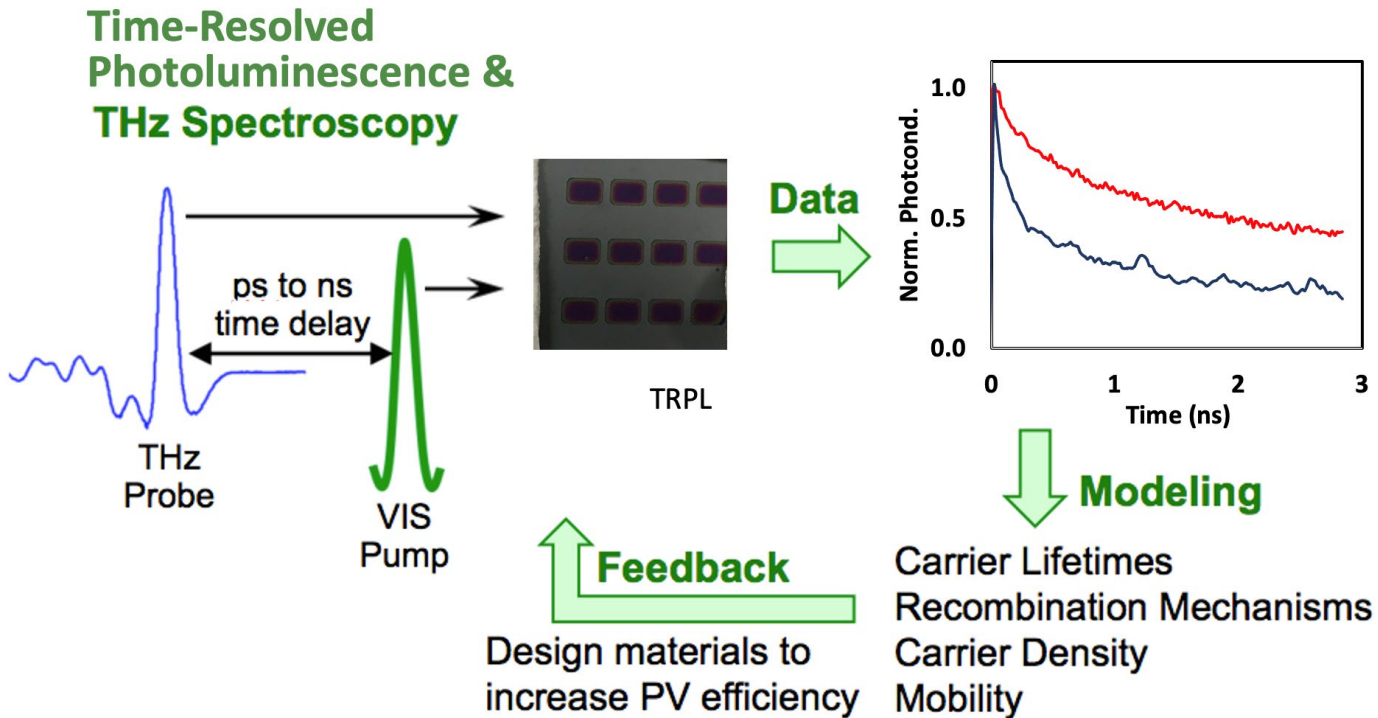
Carrier Diffusion ( $\mu'$ )  
 ← Surface Recombination ( $S_F$  or  $S_B$ ) →  
 Bulk Recombination ( $k, \tau_{SRH}$ )



Bulk Recombination  
*High Injection:  $k, \tau_n + \tau_p$*   
*Low Injection:  $\tau_n$*   
 Surface Recombination  
*High Injection:  $S_F + S_B, \mu'$*   
*Low Injection:  $S_F + S_B, \mu_n$*

**Reduced surface (and bulk?) recombination with Se**

# Conclusions and Next Steps



The combination of modeling with TRTS and TRPL under multiple excitation conditions improves accuracy and precision of parameter estimation.

Ultrafast carrier dynamics can link materials, processing, and device performance to direct the design of more efficient solar cells.

Next steps: Expanding the Python simulations and Bayesian inference to handle full devices and combined TRPL and TRTS data for fast and accurate parameter estimation.

- In-depth evaluation of the front interface to minimize recombination
- Understanding the effects of dopant atom and activation methods on bulk recombination
- Recombination vs charge transfer with passivated/selective transparent back contacts to enable bifacial / tandem cells



# Acknowledgements

## Drexel U.

Mohammad Taheri  
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Prof. Finley Shapiro



## U. Delaware IEC

Bill Shafarman  
Brian McCandless



## U. Florida

Prof. Chuck Hages  
Calvin Fai  
Prof. Tony Ladd



Taheri...Baxter, *J. Appl. Phys.*, 163104 (2021)  
Fai...Hages, *Joule* (2022)



## Funding

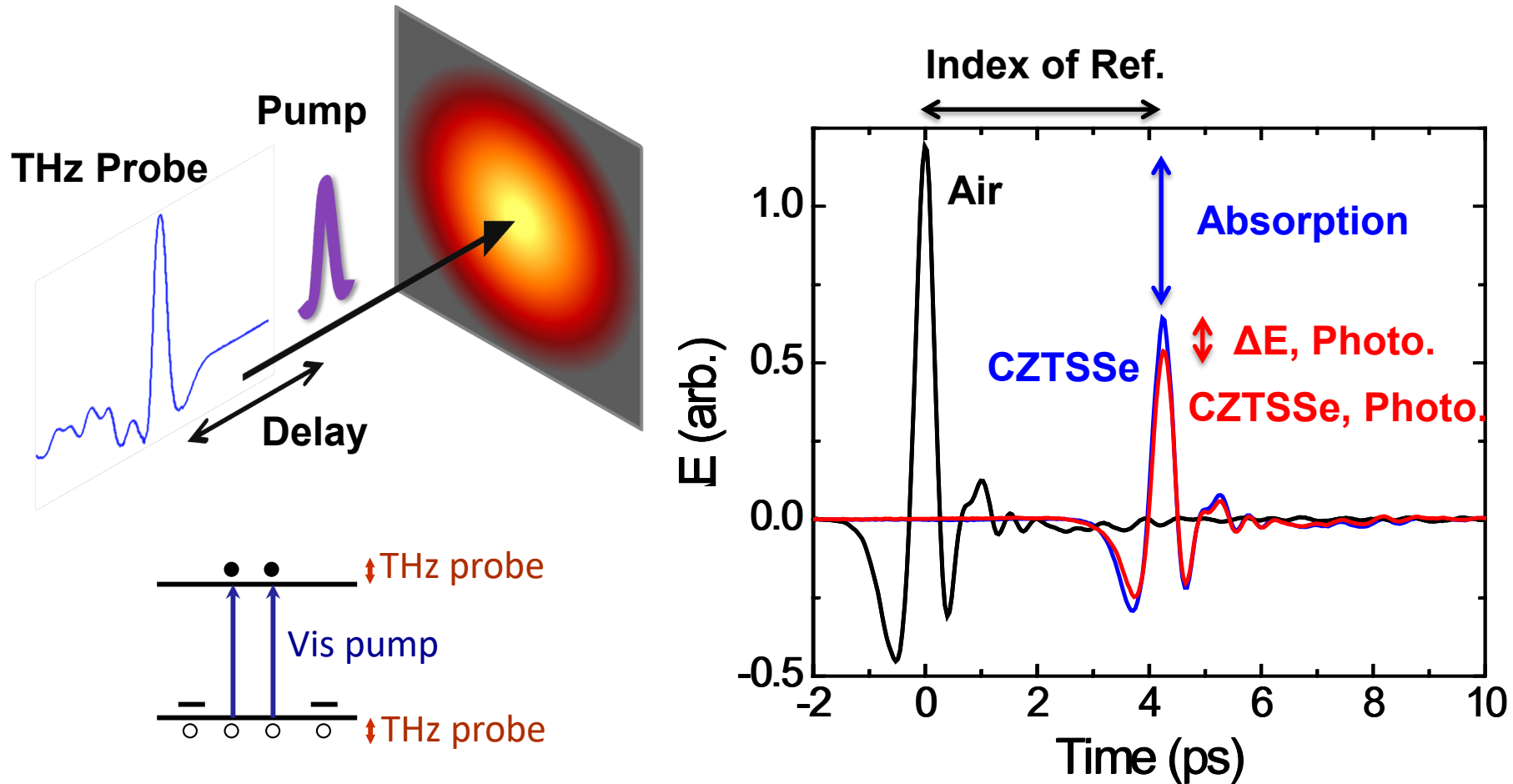
NSF MRI, DMR-0922929  
DOE SETO DE-EE0008986 (SIPS)  
DOE SETO DE-EE0009344



**SOLAR ENERGY  
TECHNOLOGIES OFFICE**  
U.S. Department Of Energy

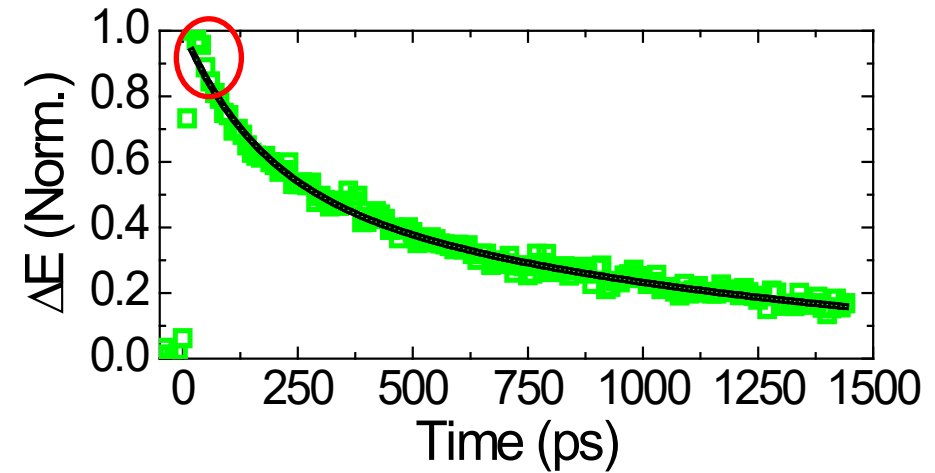
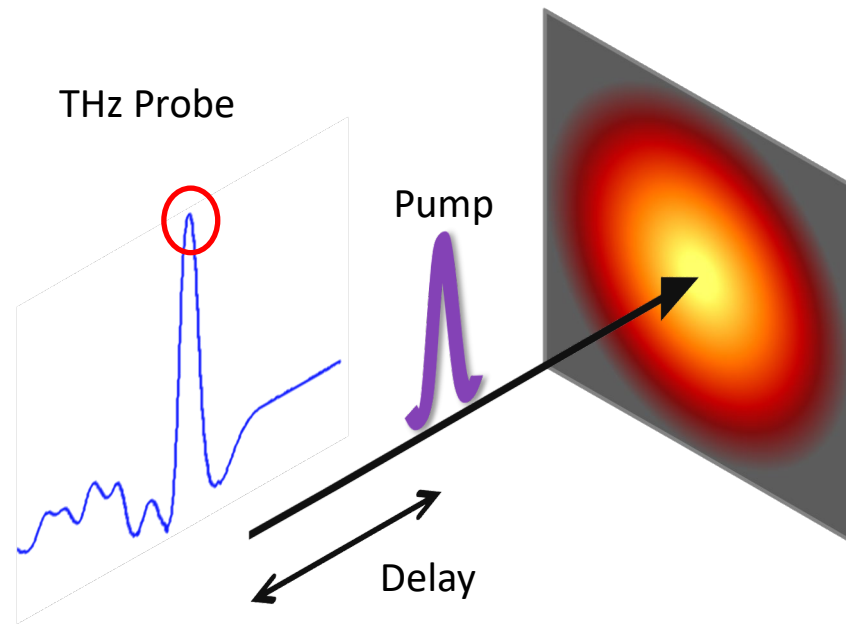


# THz Spectroscopy: Probing Mobile Carriers



- Fourier Transform to find frequency-resolved conductivity ( $1 \text{ THz} = 33 \text{ cm}^{-1} = 4 \text{ meV}$ )
- Pump-probe for transient photoconductivity

# Transient Photoconductivity from TRTS



$$\sigma(t_p) = -\frac{\Delta E(t_p)}{E_{ref}} \times \frac{2n_{eff}c\epsilon_0}{d(t_p)}$$

$$\Delta E(t) \propto q\mu_e (\Delta n(t)) + q\mu_h (\Delta p(t))$$

Time-resolved, average far-IR (THz) response integrated through the film thickness.

# Diffusion-Recombination Model

Model photoexcited carrier density,  $N(x,t)$ ,  
using the diffusion-recombination equation

Initial condition (from pump pulse):

$$N(x, 0) = N_0 \exp(-\alpha x)$$

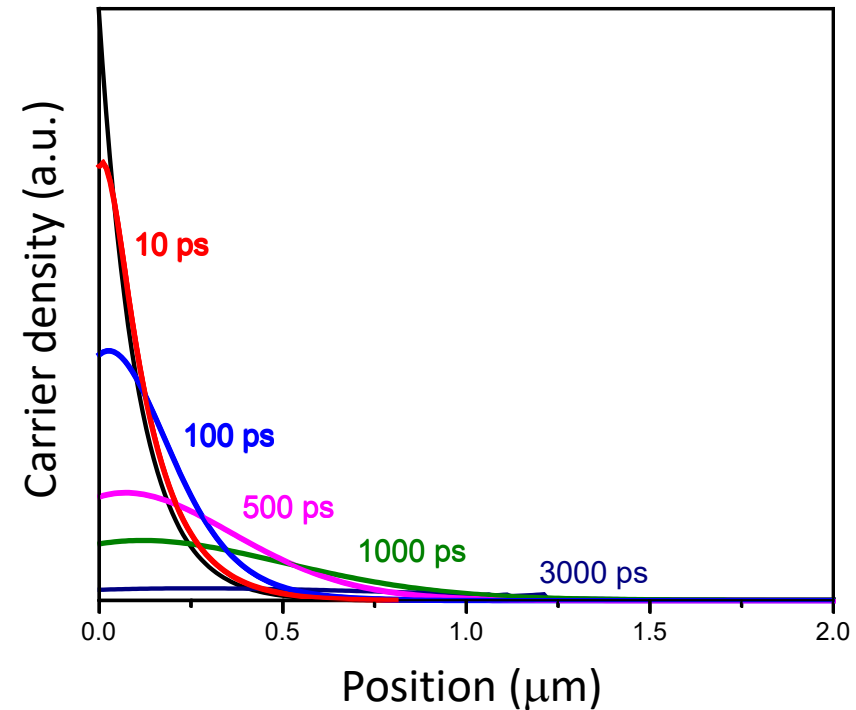
Continuity equation:

$$\frac{\partial N(x, t)}{\partial t} = D \frac{\partial^2 N}{\partial x^2} - \frac{N}{\tau_{SRH}}$$

Boundary conditions:

$$\frac{\partial N(0, t)}{\partial x} = \frac{S}{D} N(0, t)$$

$$N(L, t) = 0$$



THz signal,  $\Delta R(t)$ , proportional to  $\int N(x,t) dx$